



COMMODITY POOL, INVESTMENT FUND, AND COLLECTIVE INVESTMENT VEHICLE QUESTIONNAIRE

1. Are you a commodity pool, hedge fund, investment fund or other collective investment vehicle ("Pool")? Yes No
If "no," skip questions 2, 3 and 4 and go to question 5, if "yes," please continue on to question 2.

2. Are you physically located outside of the United States, its territories or possessions, dealing solely with customers physically located outside of the United States, its territories or possessions? Yes No
If "no," please continue on to question 3, if "yes," skip questions 3 and 4 and go to question 5.

3. Are you or any person controlling you, a member of National Futures Association? Yes No
If "yes," please identify registered person _____ NFA I.D. Number _____

4. If the answer to question 3 is no, please indicate whether the person controlling you ("Controlling Person") satisfies any of the following criteria by checking any applicable box:

 Controlling Person (a) does not receive any compensation for operating the Pool except reimbursement for ordinary administrative expenses of operating the Pool; (b) operates only one Pool at a time; (c) is not otherwise registered with the Commodity Futures Trading Commission ("CFTC") and is not a business affiliate of any person required to register with the CFTC, and (d) neither Controlling Person nor any other person involved with the Pool does any advertising (which would include systematic solicitation of prospective participants by telephone or seminar presentation).

 (a) none of the Pools operated by Controlling Person has more than 15 participants at any time; and (b) the total gross capital contributions Controlling Person receives for units of participation in all of the Pools it operates or that it intends to operate do not in the aggregate exceed \$400,000.

 (a) interests in the Pool are exempt from registration under the Securities Act of 1933, and such interests are offered and sold without marketing to the public in the United States; (b) at all times the Pool meets one of the following two tests: (i) the aggregate initial margin and premiums required to establish such positions, determined at the time the most recent position was established, will not exceed five percent of the liquidation value of the Pool's portfolio, or (ii) the aggregate net notional value of such positions, determined at the time the most recent position was established does not exceed 100 percent of the liquidation value of the Pool's portfolio, after taking into account unrealized profits and unrealized losses on any such positions it has entered into; (c) Controlling person reasonably believed, at the time of investment, that each investor was (i) an "accredited investor" under 17 CFR § 230.501, (ii) a trust that was formed by an "accredited investor" for the benefit of a family member, (iii) a "knowledgeable employee" under 17 CFR § 270.3c-5, (iv) a "qualified eligible person" under CFTC Regulation 4.7(a)(2)(viii)(A), or (v) a person eligible to participate in a pool for which the pool operator can claim exemption from registration under CFTC Regulation 4.13(a)(4); and (d) participations in the Pool were and are not marketed as or in a vehicle for trading in the commodity future, commodity options, or forex markets.

 (a) interests in the Pool are exempt from registration under the Securities Act of 1933, and such interests are offered and sold without marketing to the public in the United States; and (b) Controlling Person reasonably believed at the time of investment that each natural person participant was a "qualified eligible person" under CFTC Regulation 4.7(a)(2), and each non-natural person participant was a "qualified eligible person" under CFTC Regulation 4.7 or an "accredited investor" under 17 CFR § 230.501(a)(1)-(3), (a)(7) or (a)(8).

5. Do you hereby certify that your answer(s) to the questions in this section are truthful? Yes No

Signature of Exempt CPO

Title of Signatory & Date

Printed Name of Exempt CPO

Printed Name of Signatory